

Received by the Divisional Council, September 23, 2002

Berkeley Division of the Academic Senate
Annual Report—2001-2002

As is customary, this report provides a summary of the major activities and accomplishments of the Berkeley Division. I attach a comprehensive list of the actions taken by the Berkeley Division and the Divisional Council in 2001-02. This has been a tumultuous year. But in spite of the turbulence, the Senate has accomplished much. Let me start by briefly summarizing what I feel are the Senate's three important achievements.

Summary

First and foremost we completed our collaborative effort with the Administration to prepare Berkeley's first-ever strategic academic plan. As a result of the process, the Senate and the Administration adopted a new externally based review process for both undergraduate and graduate academic programs. Perhaps most importantly, the Senate and the Executive Vice Chancellor and Provost collaboratively designed a comprehensive process for the allocation of approximately 200 new faculty FTE over the next decade.

Second, we further strengthened our partnership with the Administration. The Senate will now provide the Chancellor and the University's Development Office with policy guidance on fundraising priorities. Starting this fall, a Senate group will begin advising the Vice Chancellor for University Relations on faculty priorities. Also beginning this fall, the Vice Provost for Academic Planning and Facilities will be invited to attend meetings of the Committee on Academic Planning and Resource Allocation, and the Vice Provost for Undergraduate Education will attend meetings of the Committee on Educational Policy.

As a result of its efforts on strategic planning, campus physical planning, enrollment growth planning and admissions policy, the Senate has demonstrated the value and benefit of shared governance. Based on the successes of the past two years, I expect that the Senate and the Administration will continue to work collaboratively together.

Third, the Senate helped to serve as a moral compass for the campus during the past year. We provided the leadership to voice concerns about the importance of balancing civil rights and academic freedom with national security. We defended the rights of students to dissent. Most challenging of all, we defended the campus' academic freedom to address controversial topics and stood firm against outside political pressure to stifle discourse and discussion.

Let me describe these accomplishments in more detail.

Strategic Planning

Perhaps the most significant activity of the year has been our continued work with the Administration on the Strategic Academic Plan. The Strategic Planning Committee (SPC), a joint Senate-Administration collaboration began its work in October 2000. I am pleased to tell you that the committee finished its work this July, presenting a draft plan to the Chancellor. The plan outlines a broad vision and strategy for moving the campus into the new millennium. This fall, the Senate will formally review and comment on the committee's plan. The plan can be viewed and downloaded at <http://spc.vcbf.berkeley.edu/>.

A cornerstone of the strategic planning process is the allocation of approximately 200 faculty FTE that the campus will receive funding for over this decade as a result of enrollment growth. Early on in its deliberations, the SPC recommended that these new resources be allocated in three distinct ways:

- Relieve impaction
- Fund new educational and research initiatives
- Provide additional support to existing growing departments and programs

The process for determining how to make this allocation has been a truly collaborative one with the Senate and the Administration working together to develop resource allocation proposals. Let me explain how this process has worked and what it is going to do this year.

Relieve impaction

In parallel with the work of the Strategic Planning Committee, the Near Term Planning Committee (NTPC), a joint Senate-Administration committee, assessed academic departmental workloads across the campus. The committee systematically analyzed Cal Profiles data to identify impacted teaching programs. It also interviewed deans and college administrators to gauge workload and to identify bottlenecks. The collaborative effort led to a series of recommendations to allocate additional faculty FTE to impacted departments. I am pleased to say that the recommendations of the NTPC were adopted by the Administration and the Committee on Budget and Interdepartmental Relations. Approximately 60 faculty FTE have been allocated to departments in 13 colleges and schools.

Fund new educational and research initiatives

This process was designed by the SPC and was initiated last year. Approximately 60 faculty FTE positions (or comparable resources) will be allocated to launch new educational and research initiatives. It began with the call for ideas (the Request for Ideas) from faculty. The SPC received 120 ideas. During the course of the spring

semester, the SPC reviewed all proposals and identified 10 new potential areas of inquiry. These include:

1. Nanosciences and Nanoengineering
2. International Relations and Global Security
3. New Media
4. Society and Technology
5. Computational Biology
6. Metropolitan Studies
7. Cultural Evolution, Preservation, and Extinction
8. New Economic Theories
9. Complex Systems, Design, and Human Interfaces
10. Environment

Over the next months, the Senate and the Administration will engage in a process to select two to three new areas.

Provide additional support to existing growing departments and programs

Over the next months, the Committee on Budget and Interdepartmental Relations will work with the Administration to set FTE targets for approximately 60 additional faculty positions to support the growth of departments.

External review of academic programs

The Strategic Planning Committee, along with the Committee of Educational Policy, the Graduate Council, the Graduate Division and the Executive Vice Chancellor and Provost agreed to implement a new externally based process for reviewing academic departments.¹ This new process will increase the usefulness of the reviews and provide fresh insights to academic programs. Perhaps most importantly, the new process will be streamlined and better managed to guarantee a one-year cycle for reviews.

Strengthening of Shared Governance

Our collaboration with the Administration has produced astounding results— agreement to program the deployment of approximately 200 new faculty FTE in three distinctly different ways; widespread and rapid acceptance of the NTPC's recommendations to allocate approximately 60 faculty FTE to relieve impacted departments; and rapid agreement to shift to an externally based review process. Building on these positive results, we have established linkages with the Offices of the Vice Provost for Academic Planning and Facilities and the Vice Provost for Undergraduate Education, and the Office of the Vice Chancellor for University

¹ The work of the Strategic Planning Committee paralleled the efforts of the Academic Program Review Task Force, a joint Senate-Administration working group, which issued its report on April 29, 2002.

Relations. During the year we formed several important ad hoc joint committees of the Senate and the Administration to tackle pressing issues—the supervision of student-led classes, WASC accreditation, and departmental clustering.

Overall, I think that the Senate and the Administration have forged a very productive partnership. The collaboration has demonstrated that shared governance can truly add value to campus decision-making. My hope is that the Senate and the Administration can build on this highly productive experience.

Protecting Civil Liberties and Academic Freedom

The tragic events of September 11 forced the campus community to reassess our core values and beliefs—what is the nature of the academy, and what role should it play in fostering informed debate and discourse on US foreign policy, globalization, cultural diversity, the Israeli- Palestinian conflict, and national and international security. This debate profoundly affected the work of the Senate over this year and it will, I suspect, continue to do so.

9/11 forced us to examine how the nation, and the campus, should balance the immediate concerns for national security with our core values of civil liberty, guarantees of due process, presumption of innocence, and racial, religious, social and political tolerance. Much of the Senate's time and energy was devoted to enabling and fostering discussion about these issues and ensuring that faculty rights and responsibilities were maintained.

On September 17, we participated in a campus wide memorial service to honor the victims of 9/11. The Senate also worked with the Administration to launch a series of lectures and courses on the Middle East, Islam and western civilization. In November, we initiated a campus dialog on civil liberties and academic freedom at an open forum. This special forum led to the formation of a joint Senate-Administration ad hoc committee to review campus policies and procedures governing the disclosure of student records in light of the new USA PATRIOT Act.

During the spring semester, we played a key role in insuring that the Administration followed established procedures, outlined in the Berkeley Campus Code of Student Conduct, when responding to alleged violations of that code. We also initiated discussions with students to explore how the Senate could work with the ASUC, Graduate Assembly and the Administration to foster a more balanced and informed discussion on the Israeli-Palestinian conflict. This process will move forward this coming year.

As the semester wound down, the campus was rocked with yet another crisis: the English Department's offering of a section of R1A, "The Politics and Poetics of Palestinian Resistance." The original section description was viewed by many as provocative, propagandistic and argumentative. The controversy quickly attracted nationwide attention and the Berkeley Division's Committee on Courses of Instruction

and the Divisional Council were pulled into the controversy. Enormous external political pressures were targeted on the campus, including demands to either revise the section description or cancel it. The Senate quietly did its work, upholding the right of the English Department to offer the section, based on the department's revision of the description and the development of systems for supervision to ensure access and free inquiry.

Much of our time this past year was spent preserving and protecting the core values of the University—academic freedom, and the importance of open debate and discussion. This was hard, difficult work, but it was perhaps the Senate's most important accomplishment.

David E. Dowall, Chair
Berkeley Division of the
Academic Senate

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Divisional Council
Berkeley Division of the Academic Senate
2001-2002 Annual Report
Summary of Activities

Note: This is a listing of Divisional Council and Division activities for 2001-02. Each item on this list is followed by the date of the event or meeting(s) at which the item was considered. Please refer to Divisional Council minutes and communications for detailed information.

Academic Programs

- Commented on campus priorities in relation to the Western Association of Schools & Colleges (WASC) accreditation process. (7/23/01, 10/22/01)
- Approved the Berkeley-Columbia Executive MBA program proposal. (7/23/01)
- Reviewed the "Report of the Academic Program Review Task Force" and proposed modifications to regularize reviews. (8/27/01, 10/22/01, 2/25/02, 4/22/02)
- Discussed the report of the Systemwide Ed.D. Work Group, "A UC Systemwide Initiative for the Ed.D. in Educational Leadership: A Framework Document." (9/24/01, 10/1/01)
- Endorsed the review of the Department of Demography. (10/1/01)
- Endorsed the proposed MBA/MFE concurrent program. (1/28/02)
- Endorsed the proposed Memorandum of Understanding between the Department of Rhetoric and the Program in Film Studies. (1/28/02, 2/25/02, 3/18/02)
- Endorsed the proposed A.B. in Urban Studies, with comments. (1/28/02, 3/11/02)
- Commented on educational technology document prepared by Faculty Assistant Philip Stark. (3/11/02, 5/6/02)
- Endorsed the proposed name change from Graduate Group in Nutrition to Graduate Group in Molecular and Biochemical Nutrition. (1/28/02, 3/11/02)
- Forwarded the review of the Department of Landscape Architecture and Environmental Planning to the Committees on Budget and Interdepartmental Relations, Educational Policy and Graduate Council. (1/28/02)
- Forwarded the review of the Department of Plant and Microbial Biology to the Committees on Budget and Interdepartmental Relations, Educational Policy and Graduate Council. (1/28/02)
- Forwarded the review of the Program in Jurisprudence and Social Policy to the Committees on Budget and Interdepartmental Relations, Educational Policy and Graduate Council. (1/28/02)
- Forwarded comments in response to Provost & Sr. Vice President King's letter on the Subject A requirement to Academic Council. (1/28/02, 4/8/02)
- Endorsed the review of the Department of Mechanical Engineering. (1/28/02, 5/6/02)
- Discussed the review of the DE-Cal program and forwarded recommendations to the administration. Division Chair Dowall co-chaired the Special Studies Task Force to review student-initiated courses. (1/28/02, 2/25/02, 3/18/02)
- Approved the "Phase I Report of the Senate Ad Hoc Committee to Review Departmental Clustering in the Humanities" and made recommendations for Phase II. (2/11/02)
- Recommended an administrative review of the variance requested by the Haas School of Business for students enrolled in the MBA/MFE program. (2/25/02)
- Supported the concerns of the Subcommittee on the Breadth Requirement in American Cultures regarding the decline in regular faculty teaching American cultures courses; forwarded concerns to the administration. (2/25/02)
- Approved a change of status for Doctor of Optometry (O.D.) Program from undergraduate to graduate. (3/18/02, 4/8/02)

Policies

- Endorsed BOARS' unitary admissions policy proposal, "Guidelines for Implementation of University Policy on Undergraduate Admissions." (7/23/01, 8/27/01, 9/10/01, 10/22/01, 1/28/02, 4/8/02)

- Commented on the Interim e-Berkeley Policy. (8/27/01, 11/19/01)
- Commented on three draft policies prepared by the UC Standing Committee on Copyright: Policy on Ownership and Use of Course Materials, Policy on Recordings of [Academic] [Instructional] Presentations, and Policy on Reservation of Rights. (8/27/01, 10/1/01, 10/22/01)
- Endorsed the proposal for a 138 or 140 day academic calendar. (9/10/01, 9/24/01, 10/1/01)
- Requested clarification of the terms used on the form implementing APM 025 (Conflict of Commitment and Outside Activities of Faculty Members, rev. 7/1/01, p. 10). (11/19/01)
- Approved the release of faculty directory information to BioSTAR for University business use only. (11/19/01, 1/28/02)
- Considered the BOARS proposal regarding the use of admissions tests by the University of California. (1/28/02, 2/11/02, 3/18/02, 4/8/02, 4/22/02)
- Considered faculty compensation and governance policies proposed by the Task Force on Summer Session Regularization. (1/28/02, 3/11/02, 3/18/02. Note: A revised proposal will be sent to the Senate for review.)
- Approved revised procedures for grade appeals proposed by the Committee on Courses of Instruction. (2/11/02)
- Approved procedures for petitions of students proposed by the Committee on Rules and Elections. (5/6/02)

Legislation

- Referred a proposed amendment of SR A291, regarding senior residency, to International Education, Educational Policy and Courses of Instruction. (10/22/01)
- Referred a proposed amendment of UC SB 335, regarding privilege and tenure procedures, to Rules and Elections. (12/3/01)
- Referred a proposed amendment of Berkeley SB 35.A, regarding the membership of Educational Policy, to Rules and Elections. (2/25/02)
- Approved the proposed amendment of Berkeley SR A291, SB 35.A. and SB 43.A. for placement on the spring Division meeting consent calendar. (3/18/02)
- Legislative amendments approved by consent at spring Division meeting. (4/26/02)

Faculty/University Welfare

- Commented on the Bureau of State Audits report on faculty recruitment practices. (8/27/01, 4/8/02)
- Referred the CIA request for return of library resources to the Library Committee. (8/27/01)
- Requested consideration of low faculty UCRS pensions and COLAs by the Academic Council and University Committee on Faculty Welfare. (9/24/01, 11/19/01)
- Discussed Senate roles/responsibilities in the aftermath of 9/11 attacks. (9/24/01)
- Endorsed the Memorandum of Understanding concerning the 9/17/01 cancellation of classes for a 9/11 memorial ceremony. (9/24/01)
- Referred the formation of committees to review limited submission grant proposal to the Committees on Research, Budget and Interdepartmental Relations, and Committees. (10/1/01)
- Issued a statement on academic freedom and civil liberties following receipt of a resolution proposed by the Berkeley Stop the War Coalition, a student group. (10/22/01, 11/5/01, 12/3/01, 1/28/02)
- Commented on the University's management and oversight of DOE laboratories. (11/5/01)
- Endorsed the report, "Proctoring Tests for Students with Disabilities: a Status Report to the Academic Senate," and the proposed proctoring program. (11/5/01, 2/11/02, 3/11/02)
- Received the "Petition for Real Equality and Integration in California Education" from the Coalition to Defend Affirmative Action and Integration and Fight for Equality By Any Means Necessary (BAMN), a student group. No action taken, pending a decision on the BOARS use of admissions tests proposal. (11/19/01)
- Endorsed the Committee on Research (COR)/Townsend Center agreement, with COR oversight. (12/3/01)

- Reaffirmed elements of uniformity in teaching evaluations proposed by the Committee on Budget and Interdepartmental Relations; forwarded proposal to the administration for implementation. (1/28/02, 2/25/02)
- Endorsed a revision of the teaching section of the bio-bibliography form proposed by the Committee on Teaching; forwarded proposal to the administration for implementation. (1/28/02, 2/25/02)
- Responded to Professor Jonathan Berk regarding the accommodation of students with disabilities. (1/28/02, 4/8/02, 5/6/02)
- Endorsed the "Report of the External Review Committee of Student Life Advising Services." (3/11/02, 5/6/02)
- Considered a resolution on parking and transportation proposed by the Committee on Educational Policy; referred proposal to the Committee on Academic Planning & Resource Allocation for coordination. (3/11/02)
- Discussed the formation of a faculty/staff partnership working group, to be considered further during the summer and brought for consideration again in fall. (5/6/02)
- Approved a statement regarding due process in the handling of student group conduct, following receipt of a faculty petition in defense of Students for Justice in Palestine. (5/6/02)

Budget and Planning

- Identified faculty fundraising priorities in response to a request from the administration. (9/24/01)
- Commented on the proposed Silicon Valley Center for Technology and Social Transformation concept outline. (10/22/01, 11/5/01)
- Discussed the University and campus budget for 2002-03. (11/19/01, 1/28/02)
- Added four Senate representatives to the Strategic Planning Committee. (12/3/01, 1/28/02)
- Approved the formation of a fundraising advisory group under the Divisional Council to advise the Vice Chancellor for University Relations. (1/28/02)
- Referred a proposed resolution concerning the next capital campaign to the Committee on Academic Planning and Resource Allocation to be included in a report to new fundraising advisory group. (1/28/02)
- Endorsed the Final Report of the Near-Term Planning Committee. (2/11/02, 5/6/02)
- Commented on the allocation of space to emeriti faculty presented by Vice Provost Webster. (3/11/02, 5/6/02)

Awards and Honors

- Confirmed the nomination of Professor John Hope Franklin for the Clark Kerr Award. (2/25/02)
- Confirmed Adjunct Professor Caroline Kane for the Leon Henkin Citation. Forwarded proposed nomination procedures for the Leon Henkin Citation to the Committee on Faculty Awards for review. (3/11/02, 3/18/02)
- Confirmed recipients of the Distinguished Teaching Awards. (4/8/02)

Senate Management

- Confirmed appointments to standing committees of the Academic Senate. (9/10/01, 9/24/01, 10/1/01, 10/22/01, 11/5/01, 11/19/01, 12/3/01, 2/11/02, 2/25/02, 3/11/02, 3/18/02, 4/8/02)
- Approved and forwarded the Annual Report of the Divisional Council to Academic Council. (9/10/01)
- Held Divisional Council and committee chairs' orientation. (9/11/01)
- Held group meetings with committee chairs, clustered around areas of interest: conflict resolution, student affairs, academic programs and infrastructure. (8/28/01, 9/5/01, 9/7/01)
- Held fall and spring division meetings. (10/16/01, 4/25/02)
- Held Senate leadership meetings (2/4/02, 4/13/02)
- Held divisional election for 2002-03. (3/22/02)
- Sponsored an end of year reception for members of the Divisional Council and Senate committee chairs. (5/14/02)

Events

- Co-sponsored New Faculty Welcome luncheon with the Office of the Chancellor. (9/26/01)
- Sponsored forums on unitary admissions (11/9/01) and the use of admissions tests. (4/3/02, 4/17/02)
- Sponsored a faculty forum on civil liberties and academic freedom. (11/29/01)
- Sponsored strategic planning forums, co-chaired by Division Chair Dowall and Vice Provost William Webster.
 - Graduate Assembly (4/4/02)
 - Student Forum (4/5/02)
 - Staff Forum (4/15/02)
 - ASUC Forum (4/17/02)
 - Faculty Forum (4/18/02)
- Held dialogue meetings with student organization leaders concerning conflicts in the Middle East and activities on campus. (5/6/02, 5/15/02)