

**APPROVED**  
**MINUTES OF MEETING<sup>1</sup>**  
**BERKELEY DIVISION OF THE ACADEMIC SENATE**  
**April 29, 2004**

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The Berkeley Division met at 3:15 p.m. on Thursday, April 29, 2004, pursuant to call, in Sibley Auditorium at the Bechtel Engineering Center. Professor Ronald Gronsky, Berkeley Division chair, presided. Quorum was not attained. The normal meeting time was extended 30 minutes to accommodate a discussion on the national laboratories, as noted in the call to meeting.

**I. Minutes of Meeting**

No action on the minutes of the November 13, 2003 meeting of the Division was taken, due to the lack of quorum.

**II. Announcements by the President**

President Robert Dynes was unable to attend the meeting. The President has been invited to attend the Fall 2004 Division meeting.

**III. Other Announcements**

**A. Chancellor Robert M. Berdahl**

Division Chair Gronsky introduced Chancellor Robert Berdahl, who addressed the Division for the last time before his retirement. Chancellor Berdahl expressed his appreciation for the Senate's support and productive collaboration over the past seven years. He noted that shared governance is a major source of strength for Berkeley, and the most important factor contributing to Berkeley's academic excellence. As a review of his tenure was presented at Charter Day and is available online, the Chancellor did not repeat that information at this meeting.

Looking to the future, the Chancellor stated that public higher education is now at a crossroads. Berkeley ranks with the leading private universities, but declining state support threatens the university's competitiveness and may lead to increased privatization. A major challenge to Berkeley will be to maintain academic excellence and access, and to resolve budget challenges by becoming more entrepreneurial. The Strategic Academic Plan and the Master Plan should be used as guides. He cited the following areas as needing attention in the future.

- Maintain the competitiveness of faculty salaries.
- Increase economic support for graduate students, who are a vital resource to the university.
- Sustain diversity through recruitment. The Chancellor commended the faculty leadership for their thoughtful response to the recent challenge to comprehensive review.
- Improve campus infrastructure. The Senate has identified libraries, classroom technology, and student services as critical areas; the administration is working to protect these areas.

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<sup>1</sup> Tapes of Divisional Meetings are available in the Academic Senate Office, 320 Stephens Hall.

- Intercollegiate athletic programs are costly, but a popular representation of the campus.

The Chancellor responded to a question about faculty salaries. He promised to make the Task Force on Faculty Compensation report available online.

At this time, Chair Gronsky presented the Chancellor with a gift from the Divisional Council in appreciation of his work.

## **B. Berkeley Division Chair Ronald Gronsky**

Chair Gronsky noted the success of shared governance during this past academic year. The administration faced critical decisions on the budget and undergraduate admissions, and shared governance ensured valuable faculty input during this difficult time.

Chair Gronsky noted the following Division accomplishments during the past academic year.

- Collaboration with the administration on an assessment of campus needs.
- Discussions on diversity, held during the Division's faculty equity and diversity forum last spring, will continue next year during roundtable discussions and forums co-sponsored by the Senate and the administration.
- Creation of the Faculty Distinguished Service Award and presentation of the first award to Judith Gruber, Associate Professor of Political Science. The Division also approved the Distinguished Teaching Awards, the Educational Initiatives Award, the Clark Kerr Award, and the Faculty Research Lectures.
- Approval of a use study for 6701 San Pablo Avenue (the Marchant Building), a proposed 2004-05 academic calendar, the Educational Effectiveness Report, the Research Resumption Action Plan for disaster response, and a revised policy on the disclosure of student records.
- Approval of a B.S. degree program in Chemical Biology and a name change to the Joint Ed.D. in Educational Leadership.

The following issues are nearing completion.

- Revised draft of the Summer Sessions business plan, the new initiative-based interdisciplinary centers, and career equity review.
- Reports of the Joint Administration/Academic Senate Working Group on Instructional Activity, USA PATRIOT Act Steering Committee, and the SARS Task Force.
- Proposals for working groups on student mental health and on teaching evaluation processes and procedures.

Chair Gronsky cited the following important topics for next year.

- Challenges to the budget and undergraduate admissions, and Berkeley's mission as a public institution.
- Further implementation of the recommendations of the 2002-03 Senate "self-study".
- Publication of the revised Berkeley Campus Code of Student Conduct.
- Continued disaster response planning.
- Development of a strategic plan for computing and information technology.
- Berkeley's transition to a new chancellor.

Division Chair Gronskey welcomed Vice Chair Robert Knapp, who will succeed him as Division chair at the beginning of the Fall 2004 semester.

**C. ASUC Academic Affairs Vice President Gustavo Mata (Handout D)**

Gustavo Mata, vice president for academic affairs of the Associated Students of the University of California (ASUC), was introduced. Vice President Mata commented that students of color continue to feel underrepresented and underserved by the university. Recent reports show a dramatic decrease in enrollment of these students, demonstrating that the university has not made a commitment to recruit students of color. The ASUC requests implementation of the recommendations of the Recruitment and Retention Centers to ensure that Berkeley upholds the *California Master Plan for Higher Education* and continues to progress toward an open environment for students of color.

Vice President Mata noted the following recommendations for action.

- Appoint a vice provost of diversity affairs by Spring 2005; this position would supervise the Multicultural Student Development Office, the Center for Educational Outreach, and the Graduate Opportunity Programs.
- Ensure the University's active promotion of policies supporting diversity and identify federal funding and policy to offset the restrictions of Proposition 209. Comprehensive review in admissions must also be re-evaluated and supported.
- Increase scholarship and award opportunities for students in the Bridges Multicultural Resource Center and the Recruitment and Retention Centers by the Fall 2004 semester.
- Create a Multicultural Center within the new Lower Sproul Complex; a temporary location should be named by the Fall 2004 semester.
- Place Bridges Multicultural Resource representation on Senate or administrative committees concerned with outreach, yield, and retention.
- Hold meetings with the ASUC, the Chancellor, and the Senate leadership to increase accountability.

In closing, Vice President Mata commented that the ASUC asks for the Senate's active support of diversity efforts at Berkeley.

**III. Special Orders-Consent Calendar**

*For proposed legislative amendments, **additions** to the current text are noted by an underline; **deletions** to the current text are noted by a strikethrough line.*

**A. Proposed amendment to Berkeley Division Bylaw 19(B), duties of the Committee on Faculty Awards**

Amendment proposed by the Committee on Faculty Awards to delegate a duty to the Committee on Teaching.

**19. FACULTY AWARDS**

**B. Duties**

**2. Nominees for External Faculty Awards**

- Upon request from the Chancellor or members of his or her staff, this Committee will submit a panel of nominees of faculty members as potential recipients of external

awards.

- The Committee in this capacity is advisory to the Chancellor and will select its panel of faculty nominees for each such award from among self-nominations and/ or those submitted by colleagues.
- The panel of nominees is not limited to Senate members.
- The selection of nominees of faculty members as potential recipients of external teaching awards is delegated to the Committee on Teaching.
- The Chancellor's Office will continue to be responsible for administrative functions related to these awards.
- For the purpose of this By-Law, the term "awards" includes external "honors" and "prizes".

**B. Proposed amendment of Berkeley Division Bylaw 24(A), membership of the Committee on Research**

Amendment proposed by the Committee on Research to increase membership.

24. RESEARCH

A. Membership

This Committee has a Chair, a Vice Chair and at least seven ~~fifteen~~ members.

**C. Proposed amendment of Berkeley Division Bylaw 35(B), duties of the Committee on Educational Policy**

Amendment proposed by the Committee on Educational Policy to reflect current duties.

35. EDUCATIONAL POLICY

B. Duties

- Considers and reports upon matters involving questions of educational policy;
- Initiates proposals involving questions of educational policy;
- Represents the Division in all matters relating to educational policy, including significant changes in the allocation of campus resources; ~~and~~
- Makes recommendations to the Chancellor on the establishment and disestablishment of curricula, colleges, schools, departments, institutes, bureaus and the like- (See Regulation 300. American Cultures Breadth Requirement) (CC. 4.89); and
- Participates in campus program review of academic departments and units, paying special attention to matters involving undergraduate education.

**D. Proposed amendment of Berkeley Division Bylaw 38, membership and duties of the Committee on International Education**

Amendment proposed by the Committee on International Education to modify membership and reflect current duties.

38. INTERNATIONAL EDUCATION (Am.11.88; Am. 10.19.00)

A. Membership

This Committee has at least seven Senate members, one of whom shall also be a member of the Committee on Educational Policy; as ex-officio members, the Dean of International and Area Studies, ~~and~~ the Director of the Berkeley Programs for Study Abroad, and two student members (one undergraduate and one graduate) appointed in accordance with By-Law 13.C. The Committee has a Chair and a Vice Chair.

B. Definition

**For the purpose of this bylaw, "international education" shall be defined as university programs outside the United States in which Berkeley students are enrolled.**

C. Duties

This Committee:

1. Advises the Senate and the Chancellor on matters related to international education and the status and welfare of international students and scholars on the Berkeley campus;
2. Advises and consults with the ~~Director of the Office of International Education~~ Dean of International and Area Studies, the Director of Berkeley Programs for Study Abroad, and the Director of Services for International Students and Scholars;
3. Initiates policy recommendations regarding international education, including the Education Abroad Program and the status and welfare of international students and scholars on the Berkeley campus; and
4. Provides guidance, advice, ~~supervision and oversight~~ regarding all academic matters related to international education. These matters include issues related to the Education Abroad Program, including such as student selection, selection of Study Center Directors, and reviews of Study Centers.

**E. Proposed amendment of Berkeley Division Bylaw 45, membership and duties of the Committee on Teaching**

Amendment proposed by the Committee on Teaching to modify membership and reflect current duties.

45. COMMITTEE ON TEACHING (CC. 10.89, Am 3.92)

A. Membership

This Committee has at least five Senate members, of which a minimum of two should be past recipients of the Distinguished Teaching Award, and two student members, one undergraduate and one graduate student.

B. Duties

1. Stimulate and aid all departments to strengthen their efforts to foster, recognize, and reward good teaching;
2. Nominate members of the Faculty for approval by the Divisional Council to receive Distinguished Teaching Awards and other awards as appropriate. Upon request from the Chancellor or members of the Chancellor's cabinet, solicit and submit nominations of faculty members as potential recipients of external awards for teaching.
3. Initiate, receive, and fund proposals for instructional improvement and development.
4. Undertake special activities to improve teaching and learning on campus. ~~including the initiating and administering of experimental courses and administrative structures for which departmental, school, or college support cannot be obtained. Such courses will be offered for periods up to five years and will be subject to existing regulations regarding Senate (Committee on Courses of Instruction) approval as are courses offered by departments, schools or colleges.~~
5. Study all problems concerning improvement of teaching on the campus and its recognition through promotion and tenure and, from time to time, make pertinent recommendations to the Berkeley Division.

**F. Proposed amendment of Berkeley Division Regulation 809(B), College of Letters and Science, Major Programs**

Amendment proposed by the Faculty of the College of Letters and Science to reflect current practice.

B. A student may ~~designate~~ declare a major program as early as the student decides, consistent with any major prerequisites, but ~~and~~ must ~~designate~~ declare it not later than the beginning of the junior year.

- As soon as the student has ~~designated it, and been accepted to pursue it~~ declared the major, the student will be assigned to a major adviser for that program.
- ~~A student in the sophomore year who has been accepted to pursue a major program may, with approval of the major adviser, enroll in upper division courses required for that program, provided the student has completed prerequisites for such courses and is, in the adviser's judgment, prepared to undertake them.~~

**G. Proposed amendment of Berkeley Regulation 811, College of Letters and Science, Election of Major**

Amendment proposed by the Faculty of the College of Letters and Science to reflect current practice.

Except as provided in Regulation 809.B. and 809.E., students may elect declare any major program approved by the Executive Committee of the College, subject to such restrictions as the Executive Committee may impose when student demand exceeds teaching capacity of a major program.

**H. Proposed amendment of Berkeley Regulation 818, College of Letters and Science, Distinction in General Scholarship with the Bachelor's Degree**

Amendment proposed by the Faculty of the College of Letters and Science, including modifications proposed by Divisional Council and approved by the Executive Committee of the College.

B. To be eligible, candidates must meet all three of the following criteria:

1. At least ~~60~~ 50 units of the student's course work must be taken in residence at UC Berkeley.
  - Up to 20 of these units may be either through the Education Abroad Program or the UC Berkeley-Washington Program.
  - Units completed in University Extension may not be included.
2. Of these ~~60~~ 50 units taken in residence at least 43 must be taken for a letter grade.

Since a quorum was not present, the consent calendar was automatically approved.

**V. Reports of Special Committees (None)**

**VI. Reports of Standing Committees**

**A. Faculty Research Lectures (FRL)**

Professor Timothy Clark, a member of the Committee on Faculty Research Lectures (FRL), introduced the presenters of next year's lecturers on behalf of Professor Anthony Long, committee chair. The awardees were selected with unanimous consent. Departments are highly encouraged to nominate qualified faculty for this award.

Professor John Clarke (Physics)

Professor Christopher McKee, chair of the Department of Physics, was introduced to present Professor John Clarke, who was not in attendance. Professor McKee described Professor Clarke as one of the world's leading experimental physicists, known for his research in condensed matter of physics, particularly in superconductivity and Superconducting Quantum Interference Devices (SQUIDS), and low temperature physics, with broad applications beyond physics. He is a past recipient of the Distinguished Teaching Award, as well as many other honors. His career is notable for having been spent entirely at Berkeley.

Judith Butler (Rhetoric and Comparative Literature)

Professor Michael Mascuch, chair of the Department of Rhetoric, appeared on behalf of the chair of the Department of Comparative Literature to present Professor Judith Butler, who was also not in attendance. Professor Butler joined the Department of Rhetoric in 1993 and the Department of Comparative Literature in 1994. Her second book, *Gender Trouble* (1990), brought her international prominence in gay and lesbian studies, and set the framework for her next five books. Professor Butler is currently researching the theory and practice of ethics. Due to the wide range and influence of her work, Professor Butler is one of the most highly regarded humanities professors at Berkeley. Professor Mascuch conveyed Professor Butler's appreciation for the award.

**B. Rules and Elections (R&E)**

Professor William Oldham, chair of the Committee on Rules & Elections (R&E) and secretary of the Division, presented the results of the 2004 elections. He noted that 433 valid ballots were received.

Divisional Council

Anthony Cascardi, Comparative Literature/Rhetoric/Spanish & Portuguese  
Herbert Strauss, Chemistry  
Barrie Thorne, Sociology/Women's Studies

Committee on Committees

Alice Agogino, Mechanical Engineering  
James Evans, Materials Science & Engineering  
Anthony Newcomb, Italian Studies/Music  
Stephen Sugarman, Law

Committee on Committees, Letters and Sciences

John Forte, Molecular & Cell Biology  
L. Ling-Chi Wang, Ethnic Studies

**C. Admissions, Enrollment and Preparatory Education (AEPE)**

Professor Jonathan Leonard, member of the Committee on Admissions, Enrollment and Preparatory Education (AEPE), presented the committee's report on behalf of Professor David Stern, chair of AEPE. The full text of the report follows.

Good Afternoon.

I am very pleased to present this annual report on undergraduate admissions on behalf of the AEPE Committee. The basic policy and procedures for undergraduate admissions have not changed this year. David Stern described existing systemwide policies in his November 13 presentation to the Berkeley Division. Here I will describe the actual procedure at Berkeley, repeating some of the description given by last year's AEPE chair, Calvin Moore. I will also mention new developments this year.

We have just completed the fall 2004/spring 2005 freshman admission cycle and sent out more than 36,700 decision notifications on March 30. Approximately 10,000 applications for advanced standing admission have

been received and are being processed. These decisions will be available April 30.

Berkeley is in its seventh year of comprehensive review for freshman applications. Beginning in 1998, every freshman application file (now nearly 37,000) is read, analyzed, and then given a score by two trained professional readers. The second reader is not aware of the score given by the first reader. Each reader scores a file on a five-point scale. If the scores given by the two readers agree within one point the two scores are averaged to get a final score, while files receiving discrepant scores are referred to an experienced third reader for resolution. Only about one to two percent of files require a third read, a number that is reassuringly low. In addition, several thousand files lying near the admit-deny decision line or which are unusually complex receive an additional one, two, or even sometimes three reads after the initial reading process is completed.

In their evaluation, the readers follow criteria, guidelines, and scoring rubrics determined by our Senate Committee. The scoring is based on an evaluation of the entire file, bringing to bear many different criteria and where no one criterion or set of criteria has a fixed and predetermined weight. All achievements of the applicant are evaluated in terms of the context from which the student comes, both academic (e.g. the nature of the high school) and socio-economic (the home and community environment). Readers are asked to pay special attention to the extent to which the applicant took advantage of the opportunities that were available in the school, and to what extent the applicant challenged him or herself. Readers are also asked to place particular emphasis on how well the student performed in comparison to other applicants from the same school.

Readers are asked to incorporate into their evaluation how and with what maturity the applicant overcame obstacles, and what the student would contribute to the intellectual and social life of the campus. Readers look for leadership and a sustained level of activity in extracurricular or public service activities. The personal statement plays an important role in the evaluation as it provides an opportunity to hear the student's voice. It can be viewed as a "paper " interview, if you will. The process also seeks to identify and recognize students with extraordinary particular talents, such as in music, or in other arts, in mathematics, in forensics, etc. These "angular" students (to use the admissions lingo) can make unique intellectual and social contributions to the campus as Berkeley students.

Readers are provided with summary statistics with each file indicating how the applicant ranks, on a variety of academic indicators, relative to all applicants to Berkeley, and also relative to applicants to Berkeley and to UC from his or her high school. Readers are also provided with each file a statistical profile of the academic and non-racial demographic characteristics of the high school that the applicant attends.

Altogether we employed about 98 readers this year. About 30 were professional career staff in the admissions office, while the others were hired on a temporary basis for the reading process. These temporary readers include retired UC admissions professionals, retired UC student service officers, high school college counselors, independent college counselors,

retired high school teachers, retired high school principals, outreach counselors, and emeritus faculty members. These temporary positions are advertised and we received 150 applicants. More than half of those hired have read for us in the previous year, and some have done so for 10 years. The result is an experienced, knowledgeable, and dedicated group of individuals.

All readers, even those with many years of experience, are required to go through 32 hours of reader training before they read and score a single real file. In addition, about 25 hours of further in-service training is required during the reading process.

Although the third-read rate is reassuringly low, we also conduct additional assessments of the reliability of the reading process. In one project last year, we asked that a small number of files (80) be read by 21 readers each so that we could compute reliability coefficients. The statistical details are too complex to go into here, but the end result was quite gratifying, with a coefficient of about 0.9 indicating a high degree of reliability relative to prevailing standards. We are continuing to monitor the reliability and consistency of readers' judgments.

Faculty have also become more directly involved in the reading process this year. Some Senate faculty members responded to the invitation in my November 13 presentation, to participate in sessions where readers present individual applications and discuss the rationale for a particular score. This year AEPE faculty members also reviewed the applications that had the lowest SAT scores among the group selected for admission, reaffirming the readers' judgments in 97 percent of the cases. This was the first year of operation of a new Athlete Admissions Committee, on which AEPE faculty members participate along with admissions managers, to ensure that all admitted athletes have the necessary preparation and commitment to succeed academically at Berkeley.

Finally, a study has been launched under AEPE auspices this year, to measure the degree to which various characteristics of incoming students are associated with their subsequent success at Berkeley. This study will inform the continuing refinement of admissions criteria.

I would now like to turn to the outcomes of this year's admission cycle. Out of 36,729 freshman applicants, 8,887 were admitted for fall for an admit rate of 24.2%. An additional 1,913 were admitted for spring. Continuing the trend of the past several years, the average high school GPA and average test scores of the admitted class are up again from last year, as are the number of Honors and AP courses taken. Considering California residents only, and combining freshman admitted for fall or spring, there were 1,360 underrepresented minority students, constituting 15.6% of the admitted California residents. The total number is down from 1,512 last year, when the percentage was 17.1%. How to select a freshman class that better represents the variety of backgrounds of California high schools students, while continuing to improve academic standards, remains a vital challenge.

Thank you for your attention.

Some questions from the audience were addressed, particularly noting the significant impacts of outreach funding cuts to underrepresented minority and secondary level students.

**D. Committee on Library (LIBR) (Handout A)**

Professor Anthony Newcomb, co-chair of the Committee on Library, and University Librarian Thomas Leonard presented the report of the Committee on Library. The libraries face serious challenges as library budgets and staffing have been reduced while the cost of serials has increased. Contract negotiation on a systemwide basis and alternative publishing options are being explored.

A proposal has been made to create shared collections under systemwide control from existing materials stored in the Regional Library Facilities, raising concerns about the integrity of collections and access to original materials. The committee submitted a statement upholding the ownership and local governance of Berkeley's collection, which dates back to 1868. The statement was approved by Divisional Council and will be presented at a meeting of the University Librarians in May.

**VII. Petitions of Students (None)**

**VIII. Unfinished Business (None)**

**IX. University and Faculty Welfare**

**A. UC's management of the national laboratories (Handouts B and C)**

Division Chair Gronsky introduced Professor George Blumenthal, chair of the Academic Council Special Committee on the National Labs (ACSCONL), to open a discussion regarding whether or not UC should bid to continue manage Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL). An electronic faculty survey is planned for May. Since Lawrence Berkeley National Laboratory (LBNL) is considered noncontroversial and a bid is almost certain, LBNL was not included in the survey or in this discussion.

Chair Blumenthal summarized UC's involvement with the national laboratories and the mandatory bidding process. The Request for Proposal for LBNL is expected first, followed by LANL and LLNL. The final decision on which contracts to bid lies with the Board of Regents.

In previous polls, UC faculty voted against (in 1990), then for (in 1996) continuing UC management of the national laboratories, reflecting the changing political climate.

The confidential online survey of faculty concerning UC management of the two national labs will be conducted from May 3-14, 2004, and the results will be released within the week. ACSCONL has prepared 11 white papers that provide an objective assessment of UC's relationship with the laboratories. Faculty were strongly encouraged to complete the survey.

Chair Blumenthal cited some pros and cons to continuing UC management of the laboratories.

An open discussion followed. It was noted that opposing viewpoints were presented at the UC Los Angeles Division's forum by Professor Emeritus of Physics William Frazer and Professor of Physics Walter Kohn. The presentations are available online.

**X. New Business (None)**

The meeting was adjourned at 5:00 p.m.

William G. Oldham  
Secretary, Berkeley Division

Handout A: Declaration adopted unanimously by the Academic Senate Committee on the Library...  
March 5, 2004...

Handout B: White Papers Relating to UC's Management of the National Laboratories.

Handout C: UC-Managed National Laboratory Profiles.

Handout D: Bridges Multicultural Resource Center Preliminary Description of Demands as of  
April 22, 2004.