## University of California, Berkeley - Student-Athlete Admissions Policy

(effective for admissions starting in academic year 2022-23)

### **Introduction**

This policy was originally developed by the Admissions, Enrollment, and Preparatory Education (AEPE) Committee of the Berkeley Division of the Academic Senate, which conducted its own research and took into account recommendations and analytic information offered by the Chancellor's Task Force on Athletics and Academics in the fall of 2014. This document supersedes all previous such documents.

AEPE reaffirms its belief that the system of holistic review, where all prospective students are evaluated using multiple measures of achievement and promise, is sufficiently robust to encompass the admission of the vast majority of recruited student-athletes through the normal Freshman and Junior Transfer admissions processes. AEPE believes that holistic review can achieve this, with a level of predictability and confidence that will satisfy all demands for academic accountability without compromising the ability to recruit and retain competitive athletic talent

This document acknowledges the myriad reports done on campus on the topic of intercollegiate athletics, including an especially influential one written by a 1991 committee chaired by Neil Smelser, professor of sociology. That committee called upon Cal to compete "across the board at the top levels" of the then Pacific 10 Conference. "This implies," the report continued, "frequent appearances in post-season play and national championship events." Significantly, the committee noted, as we reaffirm, "The academic expectations—including those concerning graduation rates—for student-athletes should not differ from those for students in general."

#### **Guiding Principles**

- 1. Student-athletes who compete on varsity sports teams for the University of California, Berkeley, are *students first, athletes second*. Every student-athlete who is admitted to Berkeley is expected to be committed to obtaining a high-quality education and to graduating in a timely manner.
- 2. The admission of student-athletes shall be governed by the same general policies and procedures used in the evaluation and selection of applicants from the general admissions pool.

3. The standard of admission for student-athletes shall be uniform across all varsity sports. Student-athletes must be UC-eligible (a requirement that includes a 3.0 high school grade-point average) to be accepted, with rare exceptions<sup>1</sup>

(<a href="http://admission.universityofcalifornia.edu/freshman/requirements/">http://admission.universityofcalifornia.edu/freshman/requirements/</a> or <a href="http://admission.universityofcalifornia.edu/transfer/index.html">http://admission.universityofcalifornia.edu/transfer/index.html</a>).

Each student-athlete shall be judged individually and comprehensively, as stipulated by Berkeley's freshman or transfer admissions criteria, as applicable. This process, called holistic review, includes the following factors:

- The applicant's level of academic preparation and accomplishment.
- The context in which the applicant learned and lived, as well as the opportunities available to the applicant and how he or she responded to challenges.
- Evidence of the applicant's commitment to pursue college studies to graduation.
- 4. Admission shall be granted only to student-athlete applicants whose level of academic preparation is deemed sufficient to warrant strong confidence in their ability to graduate from Berkeley. The campus aspires to have the same high graduation rate for student-athletes as for all other students.
- 5. The number of student-athletes admitted by exception shall be very limited and highly regulated. The following factors shall play a crucial role in determining the admissibility of exceptions:
  - The historic academic success rate of similarly prepared applicants on the teams that nominate them.
  - The availability of academic support resources for any applicant admitted by exception.

### **Procedures**

#### Overview

This policy gives the faculty and specially trained admissions staff a central role in recruiting and admitting student-athletes.

<sup>&</sup>lt;sup>1</sup> See the section on Admission for our specific interpretation of what is meant by "rare exceptions."

It recognizes that the recruitment of student-athletes in many sports occurs on a different time scale than normal admissions, beginning as early as the sophomore year of high school in some cases. As a result, while the same holistic criteria will govern the admission of both student-athletes and applicants from the general admission pool, these criteria will be applied on an earlier and on-going basis for student-athletes.

This policy is part of a fully integrated approach that takes recruiting, admission, and academic support as comprising parts of a single system for educating and graduating students who compete for Berkeley in intercollegiate sports. Before a student can be recruited as an athlete, that person must be certified as someone who is likely to succeed academically at Cal and graduate in a timely manner.

## **Student-Athlete Admissions Committee (SAAC)**

SAAC is in charge of monitoring recruitment and the nomination for admission of student-athletes. SAAC also monitors academic support and is the final arbiter of whether academic support is sufficient for those admitted in exceptional cases.

SAAC is made up of at least six voting members, all of whom are members of the Academic Senate:

- The Chair of AEPE
- An additional member of AEPE as selected by AEPE
- The Dean of the Undergraduate Division in the College of Letters and Science, or a tenured member of the Academic Senate in Letters and Science appointed by that dean as his or her representative
- The Faculty Athletic Representative
- A member of the Academic Senate Faculty Athletics Council (FACL)
- The Associate Vice Chancellor of Enrollment Management and Dean of Undergraduate Admissions
- Up to two additional Academic Senate at-large members appointed by COMS if necessary for ethnic and gender diversity on SAAC and to reflect the range of faculty who teach student-athletes

A quorum is 2/3 voting members. A case must receive 2/3 of the votes to advance.

#### Recruiting

Once coaches identify prospective student-athletes of interest, they must indicate this at the earliest possible time to designated staff in Intercollegiate Athletics (IA). The application deadline for fall admissions is June 1. The deadline for spring is November 1. IA will work collaboratively with the Office of Undergraduate Admissions (OUA) to track the progress of the

prospects through their high school or junior college careers to determine whether they are likely to be admitted.

OUA will work with the Recruitment Coordinator in IA to make a preliminary determination of the viability of the recruit. SAAC will make decisions about who should continue to be recruited, taking into account these factors:

- 1. Is the prospect likely to be UC-eligible (a requirement that includes a 3.0 grade point average) at high school graduation?
- 2. Does the prospect's current level of academic accomplishment and proposed study list suggest that he or she can succeed at Berkeley?
- 3. What is the level of academic engagement of the prospect?

OUA will give IA feedback on adjustments to high school curriculum and levels of performance in the classroom (and in standardized tests of English as a foreign language if applicable) that would enhance the prospective student-athlete's chances of admission to Berkeley. Since admissions decisions depend on holistic evaluation, non-cognitive factors such as leadership, commitment, the ability to overcome adversity and the like, will also play a significant role in reviewing the cases of student-athletes.

The qualities most of interest to SAAC for high-performing high school athletes are academic engagement and accomplishment. As an essential aspect of recruiting, coaches will be expected to make clear that Berkeley places high value on academic engagement and accomplishment, and enrollment at Berkeley constitutes a strong commitment to earning a degree. All coaches are expected to be energetic advocates of academic success in their programs and should communicate this attitude to their recruits.

In order to assess a candidate's qualifications against the general applicant pool, as well as to determine the types of academic support an individual student may need upon enrollment, each recruit is expected to meet in person with the senior leadership of OUA and the Athletic Study Center (ASC), or their representatives, during the recruitment process.

If the recruits' performance in their final semesters makes clear to OUA that they are likely to be admitted, admission by exception and borderline cases will be referred to SAAC, which will further assess the cases for impact on the ASC's carrying capacity—its ability to provide adequate academic support services. (All admitted recruited athletes will have access to the ASC's support services for their entire tenure at Cal, even if they discontinue participation in intercollegiate athletics.) SAAC will attend particularly to the following criteria:

- 1. The history of academic success for student-athletes whose profiles were similar to the prospects'.
- 2. The academic resources available to support the prospects, should they be admitted.

3. The proportion of SAAC cases (excluding those not counted against carrying capacity) already on the roster of the proposed student-athlete's team, or who would have been on the roster had they not left the team and/or university.

SAAC will be responsible for seeing that recruits facing academic challenges do not get clustered on a small number of varsity teams.

Whenever the UC Intercollegiate Athletics Department announces the successful recruitment of a student-athlete, the announcement should note that the recruitment is subject to NCAA rules and to academic admission to the University.

[Note: Language subject to revision]

#### Admission

Student-athletes must be UC-eligible, with rare exceptions.<sup>2</sup>

The process of admitting student-athletes shall consist of the following steps:

- 1. OUA initially decides which athletic prospects are recruitable on academic grounds and are therefore likely, once they apply, to receive consideration as "special talent."<sup>3</sup>
- 2. OUA evaluates these applicants in the same way as applicants from the general admissions pool (though athletic recruits will always have an academic letter of recommendation as part of the application).
- 3. Those student-athletes not selected through normal review will receive a review by the senior leadership of OUA as is the case with other special talent applicants who were not selected initially. This Director's review will involve consultation with the Director of the Athletic Study Center to determine if there is sufficient academic support to aid the student.
- 4. If the senior leadership of OUA decides the applicant is admissible, the case is referred to SAAC for a final up-or-down decision.
- 5. The senior leadership of OUA may refer any student-athlete applicant to SAAC for consultation or advice.
- 6. SAAC is authorized to determine whether a given admitted student-athlete has been referred to it and discussed only for purposes of consultation and advice, and should not count towards the team's cap on SAAC admits. A determination should be made at the time of the admission decision.

<sup>&</sup>lt;sup>2</sup> We intend "exception" to be understood in the general sense, meaning that they have academic profiles significantly different from the average admit. These offers can total no more than 0.25% of all offers in an admissions cycle.

<sup>&</sup>lt;sup>3</sup> Other special talents include debate, music, and art, among others. Such nominees who fail to be selected in normal review are subject to Director's review. But a Director's review is NOT a guarantee of an offer of admission for either non-athletic or athletic special talent.

This new admissions process will require a transition period to minimize disruptions and give IA time to refocus its recruiting efforts.

In this transition period, for the academic year 2015-2016, no more than 60% of student- athletes shall be admitted by SAAC review. This percentage shall be reduced to 40% in 2016-2017 and 20% in 2017-2018. This means that by the 2017-18 academic year at least 80% of athletic admissions for each varsity sport will be realized through normal review, with a minimum high school grade-point average of 3.0.

Finally, SAAC can also impose conditions on the admission of any student-athlete, including, but not limited to, mandating Summer Bridge<sup>4</sup> and/or first-year academic redshirt.

## **Special Application Conditions**

Beginning with the 2014-2015 academic year, all prospective student-athletes will be required to include with their application a letter of recommendation from a teacher in a core academic subject taken in either the junior or senior year. For transfer applicants, the letter should come from an instructor who has taught the recruit in a UC-transferrable course.

In addition, beginning with the 2014-2015 academic year, at matriculation all recruited student-athletes will be asked to sign a letter of commitment to graduate as a condition of admission.

#### **Transfers**

Applicants must submit High School transcripts and transcripts from all colleges attended as well as work in progress. They must complete a full UC application including the personal insight questions. A letter of support from a College instructor is also required.

Applicants must have at least a 2.8 cumulative GPA from all transferable coursework to be admitted by the OUA; all others must be admitted by exception.

## Junior Transfer Applicants

Applicants must have at least 60 transferable units to be considered as Junior Transfer Applicants. Junior transfer athlete applicants are subject to the same kinds of conditions, oversight, and consideration as Freshmen athlete applicants. That is that they must be transfer eligible, and, if they are, and are nominated by SAAC, they receive a Director's review and referral to SAAC for final approval.

<sup>&</sup>lt;sup>4</sup> Summer Bridge is a six-week rigorous academic residential program that facilitates the transition of students from high school to the University.

California Community College Transfer must have a full IGETC certification or 3 of the 4 Essential Skills including R&C.

Out of State Community College must have completed an Associates of Arts (AA) Degree or 3 of the 4 Essential Skills including R&C.

Transfers from a 4 Year Institution must have completed 3 of the 4 Essential Skills including R&C.

## <u>Lower Division Transfer applicants</u>

In this instance, review will rely heavily on the student's High School record. Applicants must have less than 60 transferable units, and transfer from a 2-year or 4-year institution.

Sophomore level transfers must have made adequate progress toward fulfilling breadth requirements with reasonable consideration depending on unit count. These applicants may be asked to interview with an advisor from the Athletic Study Center to ensure transfer success.

Freshman level transfers must meet freshman eligibility standards and have completed at least one breadth requirement.

## **International Students**

International students will be admitted through the same processes as U.S. students, but SAAC and the senior leadership of OUA are required to ascertain that the English proficiency of the international applicant is fully adequate for study at Berkeley.

All international students who attend a foreign institution where the language of instruction is not English, must complete the TOFEL exam with a score of 80 or better, or it will be an admission by exception for which the application is referred to SAAC for a final up-or-down decision. International students must also complete a UC application and submit a letter of support from a current or former instructor from the institution last attended.

# **Integrity of Admissions Process and Audit Compliance**

In response to external interests and local and state audits, and building on earlier efforts to improve compliance and accountability in the athletic recruitment process, a number of policies have been implemented to safeguard the integrity of the athletics admission process.

• All incoming student-athletes are required to sign a Student-Athlete Admissions Agreement, acknowledging that they were admitted via the Student-Athlete Admissions

- Policy and, as such, are required to be an active member of the sport team for a minimum of one academic year.
- Coaches are required to disclose on the Admissions Request Form any pre-existing relationship they have with the prospective student-athlete or family, and any involvement of other university personnel or donors in referring the student to the coach or in promoting the student's recruitment.
- Coaches must also provide the Office of Athletic Compliance an endorsement of the prospective student's athletic talent, as well as supporting documentation (e.g., media articles, awards, athletic performance results, recruiting rankings).
- Coaches and sport staff members are prohibited from soliciting a donation from the family of any prospective student-athlete whom they are recruiting who has not yet enrolled.
- Prior to approving a prospective student (scholarship or non-scholarship) to be designated for admission as a student-athlete, the Athletic Compliance Office conducts a review through the University Development and Alumni Relations (UDAR)'s Cal Advancement Data System (CADS) to determine whether the prospective student-athlete is a relative of any UC Berkeley or Cal Athletics donor.
- Rowing-Specific Requirements: The sport of rowing has a history of developing successful student-athletes in college who have little or no experience competing in the sport prior to college. A policy has been created which requires prospective rowing student-athletes to demonstrate ergometer times that are consistent with student-athletes on the current team. Prospective coxswains are now required to have prior competitive experience as a coxswain. Coaches are required to provide documentation of erg times/team status when submitting an Admission Request Form.
- For cases where the Athletic Compliance Office identifies a greater potential for a conflict of interest, they will present the case to SAAC for an additional layer of review. All cases of student-athletes without prior experience in their sport (or rowers who do not meet the above criteria) will be referred to SAAC for review. All cases of children or relatives of coaches being recruited for the team coached by their parent/relative will be referred to SAAC for review.
- All cases of a sport program wishing to designate a prospective student for admission as a student-athlete after the student was initially denied admission (after having not initially been designated as a student-athlete) will be referred to SAAC to review the vetting of the student's athletic talent. (Such cases are most commonly late-blooming athletes in spring sports like track, who would not have been on a coach's radar in time to designate as a student-athlete in the typical recruiting time-frame.)
- The Student-Athlete Admissions Committee (SAAC) will be provided reports on prospective student-athletes who are relatives of donors or university employees, those with whom coaches have a pre-existing relationship, and prospective students who have been referred to the coach by other university personnel or donors. For donors/donations, the history (with dates), amounts, and unit receiving the donation will be detailed, with the names redacted. SAAC will discuss the reports at least once a year.

• The SAAC co-chairs and will meet annually with the university's Chief Ethics, Risk, and Compliance Officer or designate, and the university's General Council, to update them on the prior year's process.

## **Allotment**

In any one admissions cycle, up to 300 recruited student-athletes may be designated for special talent consideration under this policy.

## **Monitoring**

AEPE will monitor the admissions procedures, assess the outcomes on an ongoing basis, and report annually to the Divisional Council (DIVCO) of the Academic Senate. This report will summarize the admissions statistics for the recently completed cycle and the historical trends of 4- and 6-year graduation rates, timely declaration of major, progress toward degree, as well as average GPAs of student-athletes in each varsity sport. Should particular teams show unsatisfactory academic performance, AEPE will work with OUA and IA to determine if there is a systemic problem that needs to be addressed through a change in policy or practice.